

## ***Report to the Audit and Governance Committee***



**Epping Forest  
District Council**

**Report reference:** AGC-015-2019/20  
**Date of meeting:** 23 March 2020

**Portfolio:** Leader of the Council  
**Subject:** Risk Management  
**Responsible Officer:** Jim Nolan (01992 564083).  
**Democratic Services:** Gary Woodhall (01992 564470).

---

### **Recommendations/Decisions Required:**

**(1) To review the current risk register and consider whether there are any new or emerging risks that are not on the current Corporate Risk register that should be referred to the officer Risk Management Group**

### **Executive Summary:**

This report gives the Audit and Governance Committee the opportunity to comment on and suggest changes to the Corporate risk register.

### **Reasons for Proposed Decision:**

To enhance the Council's risk management framework.

### **Other Options for Action:**

Formal responsibility for making recommendations to Cabinet on risk management issues remains with the Finance Performance Management Cabinet Committee

### **Report:**

1. At the committee level formal responsibility for risk management lies with Audit and Governance Committee to monitor the effective development and operation of risk management in the Council.
2. CIPFA (the Chartered Institute of Public Finance and Accountancy) audit committees – practical guidance for Local Authorities and Police 2018 edition states that Audit Committees should 'consider the effectiveness of the authority's risk management arrangements and the control environment, reviewing the risk profile of the organisation and assurances that action is being taken on risk-related issues, including partnerships and collaborations with other organisations'.

### **Corporate Risk Register**

3. The corporate risk register (Appendix 1) was reviewed by the Council's Officer Risk Management Group at their meeting of 3 March 2020 where a number of new risks were

added and others reviewed. The revised register is then reviewed by the Corporate Governance Group.

**Resource Implications:**

Within the report

**Legal and Governance Implications:**

Changes to the Constitution have to be approved by full Council

**Safer, Cleaner and Greener Implications:**

None

**Consultation Undertaken:**

Corporate Governance Group

**Background Papers:**

CIPFA audit committees – practical guidance for Local Authorities and Police 2018 edition

**Risk Management:**

If the Corporate Risk Register was not regularly reviewed and updated a risk that threatened the achievement of corporate objectives might either not be managed or be managed inappropriately. In addition, new or emerging risks are not considering which could threaten achievement of the Council's corporate objectives.

**Equality Analysis:**

The Equality Act 2010 requires that the Public Sector Equality Duty is actively applied in decision-making. This means that the equality information provided to accompany this report is essential reading for all members involved in the consideration of this report.